

SPI	KPI	KPI Value Formula*	KPI <sub>MinReq</sub>	KPI <sub>Target</sub>	PI
Health and Safety Management and Performance	Flawless Port state control performance	$\frac{A}{B}$	0.33	1	A: Number of PSC inspections resulting in zero deficiencies B: Number of PSC inspections
	Lost Time Injury Frequency	$\frac{A + B + C + D}{E * 10^{-6}}$	2.5	0.5	A: Number of fatalities due to injuries B: Number of lost workday cases C: Number of permanent total disabilities (PTD) D: Number of permanent partial disabilities E: Total exposure hours
	Health and Safety deficiencies	$\frac{A}{B}$	5	0	A: Number of health and safety related deficiencies B: Number of recorded external inspections
	Lost Time Sickness Frequency	$\frac{A + B}{C * 10^{-6}}$	2.5	0.5	A: Number of cases where a crew member is sick for more than 24 hours B: Number of fatalities due to sickness C: Total exposure hours
	Passenger Injury Ratio	$\frac{A}{B}$	2	0.2	A: Number of passengers injured B: Passenger exposure hours
HR Management Performance	Crew disciplinary frequency	$\frac{A + B + C + D + E}{F} * 24 * 365$	0.02	0	A: Number of absconded crew B: Number of charges of criminal offences C: Number of cases where drugs or alcohol is abused D: Number of dismissed crew E: Number of logged warnings F: Total exposure hours
	Crew planning	$A + B$	15	0	A: Number of crew not relieved on time B: Number of violation of rest hours
	HR deficiencies	$\frac{A}{B}$	5	0	A: Number of HR related deficiencies B: Number of recorded external inspections
	Cadets per vessel	$\frac{A}{B}$	0	3	A: Number of cadets under training with the ship manager B: Number of vessels under technical management (DOC)
	Officer retention rate	$\frac{A - (B + C)}{D} * 100\%$	70	95	A: Number of officer terminations from whatever cause B: Number of unavoidable officer terminations C: Number of beneficial officer terminations D: Average number of officers employed
	Officers experience rate	$\frac{A}{4 * B}$	60	90	A: Number of officer experience points B: Number of officers onboard
	Training days per officer	$\frac{A}{B}$	0	0.03	A: Number of officer trainee man days B: Number of officer days onboard all vessels under technical management (DOC)
	Environmental Performance	Releases of substances as def by MARPOL Annex 1-6	$A + B$	1	0
Ballast water management violations		$A$	1	0	A: Number of ballast water management violations
Contained spills		$A$	3	0	A: Number of contained spills of bulk liquid
Environmental deficiencies		$\frac{A}{B}$	5	0	A: Number of environmental related deficiencies B: Number of recorded external inspections
Navigational Safety Performance	Navigational deficiencies	$\frac{A}{B}$	5	0	A: Number of navigational related deficiencies B: Number of recorded external inspections
	Navigational incidents	$2A + B + 2C$	1	0	A: Number of collisions B: Number of allisions C: Number of groundings
Operational Performance	Budget performance	$\frac{ A - (B - C) }{A} * 100\%$	10	2	A: Last year's running cost budget B: Last year's actual running costs and accruals C: Last year's AAE (Additional Authorized Expenses)
	Drydocking planning performance**	$\left(\left \frac{B - A}{A}\right  + \left \frac{D - C}{C}\right \right) * 100$	10	2	A: Agreed drydocking duration B: Actual drydocking duration C: Agreed drydocking costs D: Actual drydocking costs
	Cargo related incidents	$A$	2	0	A: Number of cargo related incidents
	Operational deficiencies	$\frac{A}{B}$	5	0	A: Number of operational related deficiencies B: Number of recorded external inspections
	Passenger injury ratio	$\frac{A}{B}$	2	0.2	A: Number of passengers injured B: Passenger exposure hours
	Port state control detention	$A$	1	0	A: Number of PSC inspections resulting in a detention
	Vessel availability	$\frac{(24 * 365 - B) - A}{24 * 365 - B} * 100\%$	97	100	A: Actual unavailability B: Planned unavailability
	Vetting deficiencies	$\frac{A}{B}$	5	0	A: Number of vetting deficiencies B: Number of vetting inspections
Security Performance	Flawless Port State Control performance	$\frac{A}{B}$	0.33	1	A: Number of PSC inspections resulting in zero deficiencies B: Number of PSC inspections
	Security deficiencies	$\frac{A}{B}$	1	0	A: Number of security related deficiencies B: Number of recorded external inspections
Technical Performance	Condition of class	$A$	1	0	A: Number of conditions of class
	Failure of critical equipment and systems	$A$	1	0	A: Number of failures of critical equipment and systems

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<i>These KPIs has no association to an SPI</i>	CO2 efficiency [g/tonmile]	$\frac{A}{B * 10^{-6}}$	84	36	A: Emitted mass of CO2[ton] B: Transport work
	Fire and Explosions	$A + B$	1	0	A: Number of fire incidents B: Number of explosion incidents
	NOx efficiency [g/tonmile]	$\frac{A}{B * 10^{-3}}$	2.2	0.9	A: Emitted mass of NOx[kg] B: Transport work
	Port state control deficiency ratio	$\frac{A}{B}$	8	0	A: Number of PSC deficiencies B: Number of PSC inspections
	SOx efficiency [g/tonmile]	$\frac{A}{B * 10^{-3}}$	1.5	0.6	A: Emitted mass of SOx[kg] B: Transport work

## The Rating and Aggregation formulas

<b>KPI Rating Formula</b>	$KPI_{Rating} = 100 * \frac{KPI_{Value} - KPI_{MinReq}}{KPI_{Target} - KPI_{MinReq}}$	The KPI <sub>rating</sub> formula is valid for all KPI <sub>values</sub> and will convert the KPI <sub>value</sub> into a rating between 0-100.
<b>SPI</b>	$SPI = \frac{1}{n} * \sum_{i=1}^n KPI_i$	An SPI is calculated as the average of the KPI <sub>rating</sub> which is incorporated in the SPI

### NOTE:

KPI<sub>Target</sub> is the KPI<sub>value</sub> which give KPI<sub>rating</sub> =100

KPI<sub>Min Req</sub> is the KPI<sub>value</sub> which give KPI<sub>rating</sub> =0

\* To see the reporting and calculation periods, please refer to the [www.shipping-kpi.org](http://www.shipping-kpi.org)

## Need more info ?

For further information about the standard please refer to the web site

[www.shipping-kpi.org](http://www.shipping-kpi.org)

In case of questions, please use the feedback form on the website or email us.

[support@shipping-kpi.org](mailto:support@shipping-kpi.org)